

Our firm's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting the firm's books and records, and allowing our customers to transact business.

Our plan anticipates two kinds of SBDs, internal and external. Internal SBDs affect only our firm's ability to communicate and do business, such as a fire in our building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a city flood or a wide-scale regional disruption.

In the event of an SBD, we have the capability to conduct business from the homes of the directors and management staff. All home offices are provided with computer, facsimile capability, telephone and remote access to systems and internet/email capabilities.

Clients have direct access to their accounts by calling the custodian or recordkeeper who is holding their assets. The phone numbers for these direct resources can be found on your account statements, or by calling NS Capital LLC or one of its staff directly. In the case of an SBD, these companies can process limited transactions for the account owner or a larger array of transactions with NS Capital's involvement. Clients with direct access to their accounts include individual retail account owners and the plan sponsor and participants of Qualified Retirement plans. All email signatures for NS Capital LLC include the sender's cell number which may act as a viable contact in lieu of the corporate office in case of an SBD.

*Note: This Business Continuity Plan applies to NS Capital LLC, an independent, privately owned, fee-only Registered Investment Advisory Firm. NS Capital reserves the right to change this Business Continuity Plan at any time, without notice, and update any amended version of this document to this website.*